

Ron Breitigam

Consultant

Ron Breitigam is a seasoned investment, trading, and operations executive with more than four decades' experience spanning digital assets, alternative investments, quantitative trading, and financial technology. Rather than offering theoretical consulting, Ron brings emerging managers, crypto lenders, fintech companies, and institutional investors the practical perspective of someone who has built, scaled, and operated investment platforms across traditional and digital asset markets. A trader by background who has also served as a Chief Operating Officer and founder, he has spent his career on the front line of the rules that govern the markets.

Ron takes a practitioner's approach to regulation, particularly National Futures Association (NFA) registration and oversight from an asset manager's point of view. He gives firms new to the regulatory process a practical, efficient path to registration, and brings judgment to the parts of the application process that are less clearly defined. Ron has helped a UK firm establish its U.S. footprint and registration, pairing his own specialized expertise with the right outside advisers. His experience is especially timely as prediction markets, an emerging area of NFA oversight, move to the forefront of the industry.

Since 2020, Ron has provided digital asset advisory services across the cryptocurrency and fintech ecosystem. His work has included leading trading and operational functions for a global crypto investment platform, conducting due diligence on quantitative trading managers, providing outsourced COO services to digital asset funds, and advising fintech firms through strategic transactions.

Before focusing on digital assets, Ron founded and managed a quantitative, energy-focused commodity trading advisor (CTA), and he served as Chief Operating Officer of Eclipse Capital, a $500 million CTA, where he oversaw business development, trading, technology, compliance, finance, and recruiting. He also held leadership roles at SparkCognition, where he led financial services business development for artificial intelligence solutions serving hedge funds, trading firms, banks, and exchanges, and at Lake Austin Advisors.

EDUCATION

- Pacific Union College, BS, Business Administration

AREAS OF EXPERTISE

- Derivatives Trading and Markets
- Digital Assets and Blockchain
- NFA/CFTC Registration and Compliance
- Policies, Procedures, and Internal Controls
- CTA/CPO Operational Processes
- Risk and Compliance Programs
- Private Fund Structures
- Asset Management – Alternative Investments

Earlier, Ron spent nearly two decades leading trading operations and managing global futures, foreign exchange, and equity trading. He began his career as a trader and exchange member in Chicago before holding positions with Thomson McKinnon and Paine Webber and founding his own proprietary trading firm. His experience spans multiple market cycles and includes extensive work with institutional investors, family offices, sovereign wealth funds, and alternative investment managers.

Ron is a Chartered Alternative Investment Analyst (CAIA) and maintains FINRA Series 65 and NFA Series 3 registrations.